

/AB/

<u>Applic. No.</u>	<u>Date Filed</u>	<u>Title</u>	<u>IFW?</u>	<u>Publ. No. or Same or Similar Spec. as</u>
09/607,019	6/29/00	Apparatus with Two or More Pockets For Document Processing	N	Same or similar spec. as U.S. Pat. No. 6,311,819
09/611,279	7/6/00	Method for Document Processing	Y	
09/655,481	9/5/00	Method and Apparatus for Discriminating and Counting Documents	Y	
09/684,103	10/5/00	Method and Apparatus for Document Identification and Authentication	Y	
09/779,919	2/8/01	Method and Apparatus for Detecting Doubled Bills in a Currency Handling Device	Y	2001-0035603 A1
09/829,724	4/10/01	Remote Automated Document Processing System	Y	2002-0145035 A1
09/899,727	7/5/01	Automated Payment System and Method	Y	2003-0009420 A1
09/965,428	9/27/01	Document Processing System Using Full Image Scanning	Y	2003-0059098 A1
09/967,232	9/28/01	System and Method for Processing Currency Bills and Substitute Currency Media In A Single Device	Y	2002-0020603 A1
10/027,449	12/21/01	Method and Apparatus for Document Processing	Y	2002-0056605 A1
10/042,675	1/9/02	Automated Document Processing System Using Full Image Scanning	Y	2002-0085745 A1
10/084,856	2/27/02	Automated Document Processing System Using Full Image Scanning	Y	2002-0126885 A1
10/163,757	6/6/02	Method and Apparatus for Currency Discrimination and Counting	Y	2005-0117791 A2 2003-0108233 A1
10/198,872	7/19/02	Automatic Currency Processing System	Y	2003-0081824 A1
10/256,818	9/27/02	Currency Handling System Having Multiple Output Receptacles Interfaced with One or More Cash Processing Devices	Y	2003-0062242 A1
10/264,338	10/3/02	Automatic Currency Processing System	Y	
10/263,622	10/3/02	Automatic Currency Processing System	Y	
10/337,183	1/6/03	Document Processing System Using Full Image Scanning	Y	2003-0132281 A1
10/348,819	1/22/03	Financial Institution System	Y	2003-0139994 A1
10/368,144	2/18/03	Currency Bill and Coin Processing System	Y	2003-0182217 A1
10/391,111	3/18/03	Method and Apparatus for Currency Discrimination	Y	2003-0174874 A1
10/393,867	3/20/03	Image Processing Network	Y	2003-0202690 A1

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<u>Applic. No.</u>	<u>Date Filed</u>	<u>Title</u>	<u>IFW?</u>	<u>Publ. No. or Same or Similar Spec. as</u>
10/424,678	4/25/03	Currency Handling System Having Multiple Output Receptacles	Y	2004-0016621 A1
10/434,659	5/8/03	Method and Apparatus for Currency Discrimination and Counting	Y	2005-0117792 A2 2003-0198373 A1
10/460,071	6/12/03	Currency Processing and Strapping System and Method for Using Same	Y	2004-0003980 A1
10/638,231	8/7/03	Currency Bill Tracking System	Y	2004-0028266 A1
10/662,738	9/15/03	System and Method For Processing Currency and Identification Cards In A Document Processing Device	Y	2005-0060061 A1
10/662,930	9/15/03	System and Method For Searching And Verifying Documents In A Document Processing Device	Y	2005-0060055 A1
10/664,217	9/17/03	Compact Multiple Pocket Processing System	Y	2004-0149538 A1
10/669,787	9/24/03	Financial Document Processing System	Y	2004-0153408 A1
10/682,320	10/9/03	Method and Apparatus for Processing Currency Bills and Coins	Y	2005-0077142 A1
10/684,027	10/10/03	Multi-Wavelength Currency Authentication System & Method	Y	2004-0145726 A1
10/689,302	10/20/03	Multiple Stage Currency Processing System	Y	2004-0083149 A1
10/754,044	1/7/04	Currency Processing Device Having Multiple Stage Transport Path and Method for Operating the Same	Y	2004-0182675 A1
10/755,039	1/9/04	Document Processing Method and System	Y	2005-0047642 A1
10/769,406	1/30/04	Document Processing System Using Primary and Secondary Pictorial Image Comparison	Y	2005-0169511 A1
10/773,827	2/6/04	Currency Dispenser	Y	2004-0154964 A1
10/782,447	2/19/04	Compact Currency Bill and Coin Processing Device	Y	2004-0173432 A1
10/853,021	5/25/04	An Automated Document Processing System Using Full Image Scanning	Y	
10/861,338	6/4/04	Currency Handling System Having Multiple Output Receptacles	Y	2004-0251110 A1
10/890,654	7/14/04	Currency Processing Device Having Multiple Stage Transport Path and Method for Operating the Same	Y	2005-0035034 A1
10/903,745	7/30/04	Currency Processing Device, Method and System <i>/Anand Bhatnagar/</i>	Y	2005-0029168 A1
10/915,003	8/10/04	Automatic Currency Processing System Having Ticket Redemption Module	Y	2005-0108165 A1

/Anand Bhatnagar/

04/01/2007

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10/939,938	9/13/04	System and Method for Processing Batches of Documents	Y	2005-0060059 A1
10/941,688	9/15/04	System and Method For Processing Currency and Identification Cards In A Document Processing Device	Y	2005-0086271 A1
10/953,635	9/29/04	System and Method For Processing Currency Bills and Documents Bearing Barcodes in A Document Processing Device	Y	2005-0040225 A1
10/981,315	11/2/04	Multiple Pocket Currency Processing Device and Method	Y	2005-0150738 A1
10/996,693	11/24/04	Currency Processing System with Fitness Detection	Y	2005-0173221 A1
10/997,264	11/24/04	Currency Processing System With Fitness Detection	Y	2005-0087422 A1
11/036,686	1/14/05	Currency Processing Device, Method and System	Y	2005-0183928 A1
11/048,416	2/1/05	Automated Document Processing System And Method Using Image Scanning	Y	
11/048,296	2/1/05	Automated Document Processing System And Method Using Full Image Scanning	Y	
11/057,825	2/14/05	Method and Apparatus for Discriminating and Counting Documents	Y	
11/072,988	3/4/05	Automated Payment System and Method	Y	2005-0163361 A1
11/082,573	3/16/05	Automated Check Processing System With Check Imaging and Accounting	Y	2005-0163362 A1
11/137,295	5/24/05	Currency Processing Device	N	Same or similar spec. as U.S. Pat. No. 6,913,130
11/194,380	8/1/05	Document Processing System Using Full Image Scanning	N	Same or similar spec. as Pub. No. 2003-0059098 A1
11/198,065	8/5/05	Document Processing System Using Full Image Scanning	N	Same or similar spec. as Pub. No. 2003-0059098 A1
11/199,511	8/8/05	Document Processing System Using Full Image Scanning	N	Same or similar spec. as Pub. No. 2003-0059098 A1

In accordance with 37 C.F.R. §1.98(a)(2)(iii), as modified by the October 19, 2004 OG notice, copies of the above-referenced pending U.S. applications which are stored in the USPTO's IFW system are no longer required; and hence, no copies of such applications are enclosed. For the remaining listed pending applications, in accordance with 37 C.F.R. §1.98(a)(2)(iii), copies of such applications together with their corresponding claims are

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04/01/2007

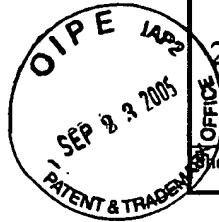
CUSTOMER NO. 41230

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO

**2<sup>ND</sup> INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet

1

of

9

*Complete if Known*

Application Number	10/638,231
Filing Date	August 7, 2003
First Named Inventor	John E. Jones, et al.
Art Unit	2621
Examiner Name	N/A
Attorney Docket Number	47171-379USPT

**Published Patent Applications**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
AB	A1	US 2001-0006557 A1	07/05/01	Mennie et al.	382	135	03/02/01
	A2	US 2001-0015311 A1	08/23/01	Mennie	194	207	04/18/01
	A3	US 2001-0019624 A1	09/06/01	Raterman et al.	382	135	12/08/00
	A4	US 2001-0035603 A1	11/01/01	Graves et al.	271	265.01	02/08/01
	A5	US 2002-0001393 A1	01/03/02	Jones et al.	382	135	04/14/98
	A6	US 2002-0020603 A1	02/21/02	Jones et al.	194	346	09/28/01
	A7	US 2002-0056605 A1	05/16/02	Mazur et al.	194	207	12/21/01
	A8	US 2002-0085245 A1	07/04/02	Mennie et al.	382	135	01/04/01
	A9	US 2002-0085745 A1	07/04/02	Jones et al.	382	135	01/09/02
	A10	US 2002-0103757 A1	08/01/02	Jones et al.	382	135	01/09/02
	A11	US 2002-0104785 A1	08/08/02	Klein et al.	209	534	02/01/02
	A12	US 2002-0107801 A1	08/08/02	Jones et al.	382	137	01/04/02
	A13	US 2002-0118871 A1	08/29/02	Jones et al.	382	135	02/11/02
	A14	US 2002-0122580 A1	09/05/02	Jones et al.	382	135	02/11/02
	A15	US 2002-0126885 A1	09/12/02	Mennie et al.	382	135	02/27/02
	A16	US 2002-0126886 A1	09/12/02	Jones et al.	382	135	02/28/02
	A17	US 2002-0131630 A1	09/19/02	Jones et al.	382	135	01/08/02
	A18	US 2002-0136442 A1	09/26/02	Jones et al.	382	135	01/23/02
	A19	US 2002-0145035 A1	10/10/02	Jones	235	379	04/10/01
	A20	US 2002-0154804 A1	10/24/02	Jones et al.	382	135	01/04/02
	A21	US 2002-0154805 A1	10/24/02	Jones et al.	382	135	01/02/02
	A22	US 2002-0154807 A1	10/24/02	Jones et al.	382	135	01/08/02
	A23	US 2002-0154808 A1	10/24/02	Jones et al.	382	135	01/08/02
	A24	US 2002-0154806 A1	10/24/02	Jones et al.	382	135	01/07/02

EXAMINER:

DATE CONSIDERED:

4/1/07

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

DALLAS2 1128200v1 47171-00379USPT

Substitute for form 1449A/B/PTO				<i>Complete if Known</i>			
				Application Number	10/638,231		
				Filing Date	August 7, 2003		
				First Named Inventor	John E. Jones, et al.		
				Art Unit	2621		
				Examiner Name	N/A		
Sheet	2	of	9	Attorney Docket Number	47171-379USPT		

Published Patent Applications							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date If App.
AB	A25	US 2002-0186876 A1	12/12/02	Jones et al.	382	135	01/04/02
	A26	US 2003-0009420 A1	01/09/03	Jones	705	39	07/05/01
	A27	US 2003-0015395 A1	01/23/03	Hallowell et al.	194	206	02/08/02
	A28	US 2003-0015396 A1	01/23/03	Mennie	194	206	02/19/02
	A29	US 2003-0059098 A1	03/27/03	Jones et al.	382	135	09/27/01
	A30	US 2003-0062242 A1	04/03/03	Hallowell et al.	194	302	09/27/02
	A31	US 2003-0081824 A1	05/01/03	Mennie et al.	382	135	07/19/02
	A32	US 2003-0108233 A1	06/12/03	Raterman et al.	382	135	06/06/02
	A33	US 2003-0121752 A1	07/03/03	Stromme et al.	194	207	09/12/02
	A34	US 2003-0121753 A1	07/03/03	Stromme et al.	194	207	09/12/02
	A35	US 2003-0132281 A1	07/17/03	Jones et al.	235	379	01/06/03
	A36	US 2003-0139994 A1	07/24/03	Jones	705	36	01/22/03
	A37	US 2003-0168308 A1	09/11/03	Maier et al.	194	207	03/04/03
	A38	US 2003-0174874 A1	09/18/03	Raterman et al.	382	135	03/18/03
	A39	US 2003-0182217 A1	09/25/03	Chiles	705	35	02/18/03
	A40	US 2003-0198373 A1	10/23/03	Raterman et al.	382	135	05/08/03
	A41	US 2003-0202690 A1	10/30/03	Jones et al.	382	139	03/20/03
	A42	US 2004-0003980 A1	01/08/04	Hallowell et al.	194	206	06/12/03
	A43	US 2004-0016621 A1	01/29/04	Jenrick et al.	194	206	04/25/03
	A44	US 2004-0016797 A1	01/29/04	Jones et al.	235	462.01	07/23/02
	A45	US 2004-0028266 A1	02/12/04	Jones et al.	382	135	08/07/03
	A46	US 2004-0083149 A1	04/29/04	Jones	705	35	10/20/03
	A47	US 2004-0145726 A1	07/29/04	Csulits et al.	356	71	10/10/03
	A48	US 2004-0149538 A1	08/05/04	Sakowski	194	207	09/17/03

EXAMINER:	<i>David Blatnay</i>	DATE CONSIDERED:	<i>4/1/07</i>
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Substitute for form 1449A/B/PTO

**2<sup>ND</sup> INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet

3

of

9

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Application Number	10/638,231
Filing Date	August 7, 2003
First Named Inventor	John E. Jones, et al.
Art Unit	2621
Examiner Name	N/A
Attorney Docket Number	47171-379USPT

**Published Patent Applications**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/AB/	A49	US 2004-0153408 A1	08/05/04	Jones et al.	705	43	09/24/03
	A50	US 2004-0154964 A1	08/12/04	Jones	209	534	02/06/04
	A51	US 2004 0251110 A1	12/16/04	Jenrick et al.	194		06/04/04
	A52	US 2005-0029168 A1	02/10/05	Jones et al.	209	534	07/30/04
	A53	US 2005-0035034 A1	02/17/05	Long	271		07/14/04
	A54	US 2005-0040225 A1	02/24/05	Csulis et al.	235	379	09/24/04
	A55	US 2005-0047642 A1	03/03/05	Jones et al.	382		01/09/04
	A56	US 2005-0060055 A1	03/17/05	Hallowell	700	213	09/15/03
	A57	US 2005-0060059 A1	03/17/05	Klein et al.	700	213	09/13/04
	A58	US 2005-0060061 A1	03/17/05	Jones	700	226	09/15/03
	A59	US 2005-0077142 A1	04/14/05	Tam et al.	194	217	10/09/03
	A60	US 2005-0086271 A1	04/21/05	Jones et al.	707	200	09/15/04
	A61	US 2005-0087422 A1	04/28/05	Maier et al.	194	207	11/24/04
	A62	US 2005-0087422 A1	05/19/05	Jones et al.	705	43	08/10/04
	A63	US 2005-0117791 A2	06/02/05	Raterman et al.	382	135	06/06/02
	A64	US 2005-0117792 A2	06/02/05	Raterman et al.	382	135	05/08/03
	A65	US 2005-0150738 A1	07/14/05	J. Jones et al.	382	135	03/04/05
	A66	US 2005-0163361 A1	07/28/05	J. Jones et al.	382	135	03/04/05
	A67	US 2005-0163362 A1	07/28/05	J. Jones et al.	382	137	03/16/05
	A68	US 2005-0169511 A1	08/04/05	W. Jones	382	135	01/30/04
↓	A69	US 2005-0173221	08/11/05	Maier et al.	194	207	11/24/04
	A70	US 2005-0183928 A1	08/25/05	W. Jones et al.	194	207	01/04/05

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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>		
				Application Number	10/638,231	
				Filing Date	August 7, 2003	
				First Named Inventor	John E. Jones, et al.	
				Art Unit	2621	
				Examiner Name	N/A	
Sheet	4	of	9	Attorney Docket Number	47171-379USPT	

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/AB/	B1	DES 369,984	05/21/96	Larsen	D10	97	11/10/94
	B2	5,163,672	11/17/92	Mennie	271	187	08/15/91
	B3	5,207,788	05/04/93	Geib	271	122	08/13/92
	B4	5,295,196	03/15/94	Raterman et al.	382	7	05/19/92
	B5	5,467,405	11/14/95	Raterman et al.	382	135	11/14/95
	B6	5,467,406	11/14/95	Graves et al.	382	135	03/08/94
	B7	5,633,949	05/27/97	Graves et al.	382	135	05/16/94
	B8	5,640,463	06/17/97	Csulis	382	135	10/04/94
	B9	5,652,802	07/29/97	Graves et al.	382	135	08/09/94
	B10	5,687,963	11/18/97	Mennie	271	119	05/26/95
	B11	5,692,067	11/25/97	Raterman et al.	382	135	11/14/94
	B12	5,704,491	01/06/98	Graves	209	534	07/21/95
	B13	5,724,438	03/03/98	Graves	382	135	02/27/95
	B14	5,751,840	05/12/98	Raterman et al.	382	135	07/14/95
	B15	5,790,693	08/04/98	Graves et al.	382	135	06/23/95
	B16	5,790,697	08/04/98	Jones et al.	382	135	12/15/95
	B17	5,806,650	09/05/98	Mennie et al.	194	206	08/31/95
	B18	5,815,592	09/29/98	Mennie et al.	382	135	11/14/94
	B19	5,822,448	10/13/98	Graves et al.	382	135	09/18/96
	B20	5,832,104	11/03/98	Graves et al.	382	135	01/21/97
	B21	5,867,589	02/02/99	Graves et al.	382	135	06/11/97
	B22	5,870,487	02/09/99	Graves et al.	382	135	12/22/94
↓	B23	5,875,259	02/23/99	Mennie et al.	382	135	03/07/95
	B24	5,905,810	05/18/99	Jones et al.	382	135	03/24/97

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				Filing Date	August 7, 2003		
				First Named Inventor	John E. Jones, et al.		
				Art Unit	2621		
				Examiner Name	N/A		
Sheet	5	of	9	Attorney Docket Number	47171-379USPT		

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/AB/	B25	5,909,502	06/01/99	Mazur	382	135	09/17/96
	B26	5,909,503	06/01/99	Graves et al.	382	135	04/08/97
	B27	5,912,982	06/15/99	Munro et al.	382	135	11/21/96
	B28	5,938,044	08/17/99	Weggesser	209	534	03/10/97
	B29	5,940,623	08/17/99	Watts et al.	395	712	08/01/97
	B30	5,943,655	08/24/99	Jacobsen	705	30	06/06/95
	B31	5,960,103	09/28/99	Graves et al.	382	135	02/11/97
	B32	5,966,456	10/12/99	Jones et al.	382	135	04/04/97
	B33	5,982,918	11/09/99	Mennie et al.	382	135	05/13/96
	B34	5,992,601	11/30/99	Mennie et al.	194	207	02/14/97
	B35	6,012,565	01/11/00	Mazur	194	207	05/07/97
	B36	6,021,883	02/08/00	Casanova et al.	194	217	10/13/98
	B37	6,026,175	02/15/00	Raterman et al.	382	135	09/27/96
	B38	6,028,951	02/22/00	Raterman et al.	382	135	11/25/98
	B39	6,039,645	03/21/00	Mazur	453	10	06/24/97
	B40	6,068,194	05/30/00	Mazur	235	492	02/12/98
	B41	6,072,896	06/06/00	Graves et al.	382	135	12/22/98
	B42	6,073,744	06/13/00	Raterman et al.	194	207	07/23/99
	B43	6,074,334	06/13/00	Mennie et al.	493	438	10/28/98
	B44	6,128,402	10/03/00	Jones et al	382	135	03/06/98
	B45	6,220,419	04/24/01	Mennie	194	207	04/04/97
	B46	6,237,739	05/29/01	Mazur et al.	194	207	01/15/99
↓	B47	6,241,069	06/05/01	Mazur et al.	194	207	02/05/99
	B48	6,256,407	07/03/01	Mennie et al.	382	135	06/16/00

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				Art Unit	2621		
				Examiner Name	N/A		
Sheet	6	of	9	Attorney Docket Number	47171-379USPT		

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
/AB/	B49	6,278,795	08/21/01	Anderson et al.	382	135	07/25/00
	B50	6,311,819	11/06/01	Stromme et al.	194	207	06/13/00
	B51	6,318,537	11/20/01	Jones et al.	194	346	04/28/00
	B52	6,351,551	02/26/02	Munro et al.	382	135	07/30/98
	B53	6,363,164	03/26/02	Jones et al.	382	135	07/21/00
	B54	6,371,303	04/16/02	Klein et al.	209	534	02/11/00
	B55	6,378,683	04/30/02	Mennie	194	207	04/18/01
	B56	6,381,354	04/30/02	Mennie et al.	382	135	05/12/98
	B57	6,398,000	06/04/02	Jenrick et al	194	200	02/11/00
	B58	6,459,806	10/01/02	Raterman et al.	382	135	12/02/99
	B59	6,460,705	10/08/02	Hallowell	209	534	08/09/00
	B60	6,493,461	12/10/02	Mennie et al.	382	135	10/27/98
	B61	6,539,104	03/25/03	Raterman et al.	382	135	04/12/94
	B62	6,560,355	05/06/03	Graves et al.	382	135	02/05/01
	B63	6,588,569	07/08/03	Jenrick et al.	194	206	10/16/00
	B64	6,601,687	08/05/03	Jenrick et al.	194	206	11/16/00
	B65	6,603,872	08/05/03	Jones et al.	382	135	01/04/02
	B66	6,621,919	09/16/03	Mennie et al.	382	135	09/27/02
	B67	6,628,816	09/30/03	Mennie et al.	382	135	03/02/01
	B68	6,636,624	10/21/03	Raterman et al.	382	135	12/08/00
	B69	6,647,136	11/11/03	Jones et al.	382	137	01/04/02
	B70	6,650,767	11/18/03	Jones et al.	382	135	01/02/02
	B71	6,654,486	11/25/03	Jones et al.	382	135	01/23/02
	B72	6,661,910	12/09/03	Jones et al.	382	135	02/21/01

EXAMINER:	/Anand Bhatnagar/	DATE CONSIDERED:	04/01/2007
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
				Application Number	10/638,231
				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones, et al.
				Art Unit	2621
				Examiner Name	N/A
Sheet	7	of	9	Attorney Docket Number	47171-379USPT

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date If App.
/AB/	B73	6,665,431	12/16/03	Jones et al.	382	135	01/04/02
	B74	6,678,401	01/13/04	Jones et al.	382	135	01/09/02
	B75	6,678,402	01/13/04	Jones et al.	382	135	02/11/02
	B76	6,705,470	03/16/04	Klein et al.	209	534	02/01/02
	B77	6,721,442	04/13/04	Mennie et al.	382	135	03/05/01
	B78	6,724,926	04/20/04	Jones et al.	382	135	01/08/02
	B79	6,724,927	04/20/04	Jones et al.	382	135	01/08/02
	B80	6,731,785	05/04/04	Mennie et al.	382	135	07/26/00
	B81	6,731,786	05/04/04	Jones et al.	382	135	01/08/02
	B82	6,748,101	06/08/04	Jones et al.	382	135	09/29/00
	B83	6,778,693	08/17/04	Jones et al.	382	136	02/28/02
	B84	6,798,899	09/28/04	Mennie et al.	271	10.09	01/04/01
	B85	6,810,137	10/26/04	Jones et al.	382	137	02/11/02
	B86	6,843,418	01/18/05	Jones et al.	235	462.01	07/23/02
	B87	6,860,375	03/01/05	Hallowell et al.	194	328	02/08/02
	B88	6,866,134	03/13/05	Stromme et al.	194	207	09/12/02
	B89	6,868,954	03/22/05	Stromme et al.	194	207	09/12/02
	B90	6,880,692	04/19/05	Mazur et al.	194	207	04/03/00
	B91	6,913,130	07/05/05	Mazur et al.	194	207	04/03/00
	B92	6,913,260	07/05/05	Maier et al.	271	265.04	03/04/03
↓	B93	6,915,893	07/12/05	Mennie	271	265.04	03/04/03
	B94	6,929,109	08/16/05	Klein et al.	194	206	08/10/00

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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
				Application Number	10/638,231
				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones, et al.
				Art Unit	2621
				Examiner Name	N/A
Sheet	8	of	9	Attorney Docket Number	47171-379USPT

### Foreign Patent Documents

Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
/AB/	C1	C-E1	WO 91/11778	08/08/91	International (PCT)			Not Applicable
	C2	C-E2	WO 92/17394	10/15/92	International (PCT)			Not Applicable
	C3	C-E3	WO 93/23824	11/25/93	International (PCT)			Not Applicable
	C4	C-E4	WO 95/24691	09/14/95	International (PCT)			Not Applicable
	C5	C-E5	WO 96/10800	04/11/96	International (PCT)			Not Applicable
	C6	C-E6	WO 96/36933	11/21/96	International (PCT)			Not Applicable
	C7	C-E7	WO 97/30422	08/21/97	International (PCT)			Not Applicable
	C8	C-E8	WO 97/43734	11/20/97	International (PCT)			Not Applicable
	C9	C-E9	WO 97/45810	12/04/97	International (PCT)			Not Applicable
	C10	C-E10	WO 98/12662	03/26/98	International (PCT)			Not Applicable
	C11	C-E11	WO 98/13785	04/02/98	International (PCT)			Not Applicable
	C12	C-E12	WO 98/24052	06/04/98	International (PCT)			Not Applicable
	C13	C-E13	WO 98/24067	06/04/98	International (PCT)			Not Applicable
	C14	C-E14	WO 98/35323	08/13/98	International (PCT)			Not Applicable
	C15	C-E15	WO 98/40839	09/17/98	International (PCT)			Not Applicable
	C16	C-E16	WO 98/47100	10/22/98	International (PCT)			Not Applicable
	C17	C-E17	WO 98/50892	11/12/98	International (PCT)			Not Applicable
	C18	C-E18	WO 98/59323	12/30/98	International (PCT)			Not Applicable
	C19	C-E19	WO 99/09511	02/25/99	International (PCT)			Not Applicable
	C20	C-E20	WO 99/14668	03/25/99	International (PCT)			Not Applicable
	C21	C-E21	WO 99/23601	05/14/99	International (PCT)			Not Applicable
	C22	C-E22	WO 99/41695	08/19/99	International (PCT)			Not Applicable
	C23	C-E23	WO 99/48040	09/23/99	International (PCT)			Not Applicable
	C24	C-E24	WO 99/48042	09/23/99	International (PCT)			Not Applicable

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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
				Application Number	10/638,231
				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones, et al.
				Art Unit	2621
				Examiner Name	N/A
Sheet	9	of	9	Attorney Docket Number	47171-379USPT

Foreign Patent Documents								
Exam. Init.	Ref. Des.	CA Cat. #	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
/AB/	C25	C-E25	WO 00/24572	05/04/00	International (PCT)			Not Applicable
	C26	C-E26	WO 01/08108	02/01/01	International (PCT)			Not Applicable
	C27	C-E27	WO 01/59685	08/16/01	International (PCT)			Not Applicable
	C28	C-E28	WO 01/59723	08/16/01	International (PCT)			Not Applicable
	C29	C-E29	WO 02/29735	04/11/02	International (PCT)			Not Applicable
	C30	C-E30	WO 02/054360	07/11/02	International (PCT)			Not Applicable
	C31	C-E31	WO 03/005312	01/16/03	International (PCT)			Not Applicable
	C32	C-E32	WO 03/028361	04/03/03	International (PCT)			Not Applicable
	C33	C-E33	WO 03/029913	04/10/03	International (PCT)			Not Applicable
	C34	C-E34	WO 03/030113	04/10/03	International (PCT)			Not Applicable
	C35	C-E35	WO 03/067532	08/14/03	International (PCT)			Not Applicable
	C36	C-E36	WO 03/107282	12/24/03	International (PCT)			Not Applicable
	C37	C-E37	WO 04/010367	01/29/04	International (PCT)			Not Applicable
	C38	C-E38	WO 04/027717	04/01/04	International (PCT)			Not Applicable
	C39	C-E39	WO 04/036508	04/29/04	International (PCT)			Not Applicable
	C40	C-E40	WO 04/038631	05/06/04	International (PCT)			Not Applicable
	C41	C-E41	WO 04/068422	08/12/04	International (PCT)			Not Applicable
	C42		WO 05/013209 A2	02/10/05	International (PCT)			Not Applicable
	C43		WO 05/017842 A1	02/24/05	International (PCT)			Not Applicable
	C44		WO 05/029240 A2	03/31/05	International (PCT)			Not Applicable
	C45		WO 05/036445 A1	04/21/05	International (PCT)			Not Applicable
↓	C46		WO 04/041134 A2	05/06/05	International (PCT)			Not Applicable
	C47		WO 05/076229 A1	08/18/05	International (PCT)			Not Applicable

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CUSTOMER NO. 41230

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO				Complete if Known	
<b>4<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/638231-Conf. #4127
(Use as many sheets as necessary)				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones
				Art Unit	2621
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	47171-00379USP1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code <sup>2</sup> (if known)			
/AB/	A1*	US-5,607,040	03-04-1997	Mathurin, Sr.	
/AB/	A2*	US-20040131230-A1	07-08-2004	Paraskevakos	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>3</sup>
		Country Code <sup>4</sup> -Number-Kind Code <sup>5</sup> (if known)			

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	C1	Vector Tech, Document Imaging, Video Tape Product Demonstration, date unknown.			

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Anand Bhatnagar/	Date Considered	04/01/2007
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for FORM 149/PTO				<b>Complete if Known</b>	
				Application Number	10/638231-Conf. #4127
				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones
				Art Unit	2621
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	47171-00379USPT

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

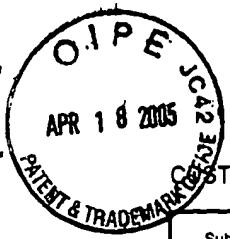
\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
/AB/	C1	European Patent Office, "Written Opinion of the International Searching Authority," dated December 29, 2004, International Application No. PCT/US2004/022397, filed July 14, 2004.			

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Anand Bhatnagar/	Date Considered	04/01/2007
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CUSTOMER NO., 41230

ALTERNATIVE TO PTO/SB/08a/b (06-03)

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/638231-Conf. #4127
				Filing Date	August 7, 2003
				First Named Inventor	John E. Jones
				Art Unit	2621
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	47171-00379USPT

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code <sup>3</sup> ( <i>if known</i> )			
/AB/	A1	US-6,065,672	05-23-2000	Haycock	
/AB/	A2	US-6,131,718	10-17-2000	Witschorik	
/AB/	A3	US-6,550,671	04-22-2003	Brown, et al.	
/AB/	A4	US-20030059098-A1	03-27-2003	Jones et al.	
/AB/	A5	US-6,141,438	10-31-2000	Blanchester	
/AB/	A6	US-6,415,983	07-09-2002	Ulvr, et al.	
/AB/	A7	US-6,119,946	09-19-2000	Teicher	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>3</sup> ( <i>if known</i> )			
/AB/	B1	EP-0 633 553 A1	01-11-1995	Japan Cash Machine Co., Ltd.	T <sup>4</sup>

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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/AB/	C1	International Search Report, PCT/US2004/022397, dated December 17, 2004.			

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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